Indiana, 46181. I am Brent Waltz's cousin.

assisted Brent Waltz in moving into his

condominium at 308 Elmead Court, #2010,

Greenwood, Indiana, 46142 during the fourth

guarter of 2003.

My two sons, Jason Thomas and Ryan Thomas, assisted us with the move. The furnishings that I assisted moving into the condominium included a bed, dresser, chest, sofa, reclining chair and other assorted furnishings.

- Q Would you pass that up, please?
- A (The witness complied.)

MR. B. SPEAR: I've only got one copy of this, but the division sent a copy of the notice of this hearing to Brent Waltz by UPS next day delivery, which is usually delivered during the day, and then if you're not there, they put a sticker on your door and then you go pick it up. And it shows that the Commission, in fact, that Senator Waltz picked this up oh stand it up there?

CHAIRMAN T. WHEELER: Let me -- since this is in the Commission's, and there was certainly some concern among the Commission, when the Commission -- at least I as Chairman of the Commission had some concerns regarding the fact

that the actual notice was initially returned by UP s with the notation that we received, quote, the receiver has moved. UPS attempting to locate receiver. If -- if you could take a moment or have the Senator clarify, that may...

SENATOR D. WALTZ: By all means. I would assume that what they're referring to is the sticker, which I've taken down several times, but keeps coming back for some reason. I've probably removed it at least I'd say a half dozen times, maybe more.

But there was a sticker when I arrived home that said I had been visited by UPS and that I should pick this up, and I picked it up on Monday. Again, given my schedule and given my late hours, I would not have been at home when they made the initial knock on my door.

So I certainly did get the sticker and did pick that up at the 16th Street delivery facility.

CHAIRMAN T. WHEELER: And I would note for the commission members, you have in front of you a document that had been produced by the Division, Pages 1, 2 and 3 which are the UPS tracking printed off their system which indicate 5:49 p.m. it was picked up at the box. It was not delivered to the

up?

SENATOR D. WALTZ: Yes, sir.

Anything else?

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Q

2 -- didn't he have a 1?

MR. B. KING: 1 was already... 1 MR. B. SPEAR: I believe the Chairman admitted 2 1. 3 CHAIRMAN T. WHEELER: Correct. 4 VICE CHAIR A. LONG: What was 1? I don't have 5 6 1. CHAIRMAN T. WHEELER: His driver's license. 7 We've got it. 8 9 VICE CHAIR A. LONG: Oh, okay. CHAIRMAN T. WHEELER: All right. Now the Vice 10 Chair has all the exhibits. Mr. Vigh any cross? 11 MR. D. VIGH: Just briefly, Mr. Chairman. 12 13 REDIRECT EXAMINATION 14 QUESTIONS BY MR. DYLAN A. VIGH: 15 With respect to Respondent's Exhibit No. 3 which 16 is your voting card, it says here, Senator 17 Waltz, that your previous address was 124 North 18 Smart Street? 19 20 A Yes. Is that within the voting district? 21 22 Yes. A different precinct. I believe that's  $\boldsymbol{A}$ Pleasant 5 but... 23 But it is within Senate District 36? 24

25

A

Yes, sir.

- And also with respect to Exhibit No. 1, which is
  the residential lease, I think it indicates on
  the very top 10/1/03 to 5/31/03?
  - A Yes.

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- Q Just to clarify, is that a typographical error?
- 6 A It would be, yes, sir.
- 7 Q Okay. And what was the decision for you to go on a month to month lease?
  - A That's just how it -- we decided to work out and we just kept that arrangement ever since then.
  - Q And with respect to the exhibit, the affidavit of Pamela Ingalls, does she have any personal knowledge of the nights that you would spend at your residence?
  - A I have never spent the night with Pamela Ingalls in my residence, sir, if that's what you're inferring.
  - Well, it inferring to that, but it says I continue to lease the aforementioned condominium to Brent Waltz but as we know, just leasing a facility within a district doesn't qualify you as living at that -- that residence. You understand that, Senator?
  - A Yes, I do. I think the affidavit speaks for itself. I would not add or subtract anything

1 from that. 2 So she has no personal knowledge? 3 I -- I don't know what knowledge she has. Again, the affidavit speaks for itself. 4 5 I'm going to show you what I'll mark for 6 identification purposes as Respondent's Exhibit 7 F, and I do have one copy of that? CHAIRMAN T. WHEELER: What -- what number are 8 9 you on? 10 MR. D. VIGH: 11 CHAIRMAN T. WHEELER: G? MR. D. VIGH: G. 12 13 Do you recognize that, Senator? 14 I do, yes. 15 And what is that a picture of? That is a picture of the mail slot or mailbox 16 17 that has a tape over it that says vacant, 2010. 18 Okay. And is that your mailbox, specifically? It's not a mailbox that I use --19 20 But it is a mailbox? -- but it is the mailbox that is accompanied by 21 the condominium. 22 And that's a mailbox that's assigned to 23 Q you; is that correct? 24 25 A I don't believe it's assigned to me with the

U.S. Postal Service. I don't believe that they 1 2 deliver anything there. I, specifically, had my 3 mail go to my post office box. 4 Okay. And with respect -- but that is -- that 5 vacant sticker that I alluded to earlier, 2010, is your apartment; is that correct? 6 7 It's one of those that I've removed several times. 8 Okay. And have you notified the post office of 9 10 that, not that put that sticker up? 11 I have not, no. I'm going to show you what's been marked for 12 identification purposes as --13 14 CHAIRMAN T. WHEELER: Could you -- could you hold for just a moment? 15 MR. D. VIGH: 16 Sure. 17 CHAIRMAN T. WHEELER: Do you mind if you pass 18 that to the commissioners? Could I ask a quick 19 question? Is this one of the ones where the -- do you know how the mail is delivered in these? 20 they come off the back or do they fold down... 21 SENATOR D. WALTZ: I've -- I've never received 22 my mail from there so I would not presume to know. 23

CHAIRMAN T. WHEELER:

would -- can you deposit your mail and they pick up

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Is this one where they

your mail in there as well?

SENATOR D. WALTZ: I've -- I've never used it.

I've never...

CHAIRMAN T. WHEELER: So you don't know if they put the vacant sticker so they would know not to open that one or you have no idea?

SENATOR D. WALTZ: I -- I have no idea. I -- like I said, the only information that I have as far as the vacant sticker was the information I received secondhand from a member of the media who saw the Postal worker writing that on there.

CHAIRMAN T. WHEELER: So it just keeps coming?

SENATOR D. WALTZ: It keeps coming.

CHAIRMAN T. WHEELER: Okay.

And Senator Waltz, I'm going to show you what's been marked for identification purposes as Respondent's Exhibit H. That's a letter that my office sent to you which was a request for issuance of subpoena. I guess I understand why it was returned was address -- it looks like A and F.

MR. B. SPEAR: It says return to sender. Not delivered as address. Unable to forward.

Right. And unable to forward is due to the fact that you use your post office box; is that

correct?

- A I wouldn't presume to know the routine for the U.S. Postal Service, sir.
- Q Okay. But when unable to forward, does the Post Office know to forward your mail? Did you ever give them any indication or leave affording slip to forward any mail that's sent to your official residence to your post office box?
- A I believe so. I remember filling out some forms at the post office -- this would have been over four years ago, but I don't remember which forms were filled out, but I did make an annotation that I wanted my mail to be received at my post office box, but I don't know what protocol was used with that.
- And then finally, with respect to the exhibits, just so that I'm clear on the photographs that you took or you had a colleague or friend take photographs of the inside of your residence, when were those photos taken?
- A Those were taken over a period of two days.

  Some of -- we used a video camera capture on that. It would have been taken within the last week.
- Q On the back of one of the photos, I note it

1		looks like it has a date of march the 10th,
2		2008, is that
3	A	I've not seen the back of that, but that would
4		be about the time, within the last few days
5		within the last week.
6	Q	After the residency challenge; correct?
7	A	Yes.
8		MR. D. VIGH: I have nothing further.
9		MR. B. SPEAR: Nothing else.
LO		CHAIRMAN T. WHEELER: All right. Any other
L1		witnesses?
L2		MR. D. VIGH: No other witnesses.
L3		CHAIRMAN T. WHEELER: All right. Mr. Spear's
L 4		nothing, you rests?
15		MR. B. SPEAR: We rest.
L 6		CHAIRMAN T. WHEELER: All right. Any further
L 7		questions? Anything from the commission members?
18	:	COMMISSION MEMBER D. DUMEZICH: Mr. Waltz, do
19		you you're a State Senator; correct?
2 0		SENATOR D. WALTZ: I am.
21		COMMISSION MEMBER D. DUMEZICH: Have you read
22		the statute that deals with residency and
23		qualifications for your position?
24		SENATOR D. WALTZ: Yes.

COMMISSION MEMBER D. DUMEZICH: Do you believe

that you're in line with those qualifications? 1 SENATOR D. WALTZ: Yes. 2 CHAIRMAN T. WHEELER: Anything further? 3 COMMISSION MEMBER D. DUMEZICH: No. 4 VICE CHAIR A. LONG: Is the hearing concluded, 5 is this -- I mean... 6 7 CHAIRMAN T. WHEELER: I was allowing the commissioners to ask any additional questions. 8 9 There are no additional questions? 10 VICE CHAIR A. LONG: I don't have any 11 questions. Commissioner, I will --CHAIRMAN T. WHEELER: 12 I will close the hearing, the evidentiary part of 1.3 the hearing at this point in time. I will accept 14 comments or discussion or a motion from the 15 commissioners? 1.6 VICE CHAIR A. LONG: Maybe to ask a question 17 of counsel --18 CHAIRMAN T. WHEELER: Please. 19 20 VICE CHAIR A. LONG: -- just so I'm clear on 21 We've -- we've weathered a number of these 22 residency issues over the years. As I understand what they're saying here, the Challenger is saying 23 3-5-5-15 establishes the presumption as to an 24

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unmarried person, but does it -- the question I

have is does it exclude the other factors that I quess the we're to consider? Do you understand? question is, what I believe Mr. Vigh is saying that this is code and this is the sole and exclusive way that you can (indiscernible) on a residence based on an unmarried person as opposed to the general statements contained throughout the statute regarding looking to collateral issues to support a 

MS. L. BARNES: Mr. Chairman, to answer

Commissioner Long's question, there is a

corresponding statute, 3-5-5-6 that talks

about -- sections are a rebuttal to the presumption

and can be rebutted by demonstrating intent to

reside in another precinct. That seems to be what

Senator Waltz and his attorney were attempting to

do, was to rebut that presumption.

VICE CHAIR A. LONG: As I understand what you're saying, that section 7 through 17 of 3-5-5 provide presumptions based on various circumstances?

MS. L. BARNES: Correct.

VICE CHAIR A. LONG: And I appreciate counsel's -- both you guys giving us a (indiscernible) because this (indiscernible).

candidate?

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CHAIRMAN T. WHEELER: (Indiscernible).

VICE CHAIR A. LONG: Yeah, I -- some of these and I don't want to pick on one just particularly, but some of these talk about -- one at random, change in -- if a person moves -- in 10, Section 10, if a person moves into another precinct with intention to make that precinct their residence, the person loses residency in the precinct that the person left. That's a (indiscernible)?

If an individual moves, that creates a rebuttable presumption. What if that were a single person, does that -- would that apply to a single person and a married person with family?

MS. L. BARNES: Yes. I believe it would apply to both, but it's more than just the physical moving. It also is accompanied by an intent to make it -- to make the new place -- the new precinct their residence.

VICE CHAIR A. LONG: You know, it seems to me that the burden of proof here is on the Challenger to establish that Senator Waltz does not live in a given place where he claims to live and that the evidence by Senator Waltz's testimony, that he spends the majority of his time at the -- the Elmead Court address, would establish a rebuttable

presumption that that's his residence.

I think also, we look at other things, his voting record or his voting card, his lease, his pictures of furniture located there, his gun permit albeit a typo -- I think that's close enough that we can accept that that is a typo, driver's license, all are circumstances that I think are supportive of the presumption that that is his residence or at least his intent that that be his residence. And my observation is, is I don't think that the Challenger's made a sufficient showing to rebut the presumption based on his declaration.

I would like to hear evidence from somebody -however, you do it, he does not stay here, period,
and I don't know -- I guess -- I don't know how you
do that when you've got an injunction for somebody
from following you, but I -- I just don't see that
the challenge has been sustained. That's my
observation.

CHAIRMAN T. WHEELER: Any other commissioners?

(No response.)

CHAIRMAN T. WHEELER: Hearing definitely silence, let me note kind of the direction we've been given from the General Assembly is No. 1, this rebuttal of presumption that has been referred to,

that the place where you sleep is where you are, that's what we've been given to use in this determination.

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What we know from the Supreme Court in the Evan Bayh case is that this is what they refer to as a contextual determination, which is you look at the gestalt and see if it looks like that's where they're living?

I -- I'll be real frank, when I saw that our -- our own mailing was returned and it said resident had moved, I had some serious concern with that. You've addressed those concerns. I understand that -- certainly, the gestalt that I've heard here today.

Even if you did not have the rebuttable presumption, I don't think that they would have met their burden, but given the fact that they have a rebuttable presumption that's where you live independently of the additional evidence, the entire contextual information that you've provided and Mr. Spear has ably provided us with -- under the Bayh case, certainly makes it clear to me that you meet the criteria and that the Challenger has failed to meet their burden. I will accept a motion.

VICE CHAIR A. LONG: I would move that we deny 1 2 the challenge. CHAIRMAN T. WHEELER: Do I have a second? 3 COMMISSION MEMBER D. DUMEZICH: 4 Second. CHAIRMAN T. WHEELER: Motion's been made and 5 6 seconded, any further discussion? 7 (No response.) CHAIRMAN T. WHEELER: All in favor, signify by 8 9 saying aye? 10 THE COMMISSION: Aye. 11 CHAIRMAN T. WHEELER: Those opposed, same 12 sign? 13 (No response.) CHAIRMAN T. WHEELER: The Commission has 14 15 denied the challenge in 0 -- 08-170. Mr. Vigh and 16 Mr. Spear, thank you. Senator Waltz and Mr. 17 Beeles, thank you very much. VICE CHAIR A. LONG: Counsel, it was a 18 19 professional presentation. 20 MR. D. VIGH: Thank you, Counselor. 21 from a former prosecuting attorney, I appreciate 22 that. VICE CHAIR A. LONG: It's been a while, hasn't 23 it? 24 MR. D. VIGH: It's been a while. 25

CHAIRMAN T. WHEELER: We're going to try to 1 keep moving. The challenge against Rogge. 2 VICE CHAIR A. LONG: And your name, sir? 3 MR. G. REISING: I'm Greg Reising, 4 5 R-E-I-S-I-N-G. CHAIRMAN T. WHEELER: And your name? 6 7 VICE CHAIR A. LONG: You're the attorney for 8 Mr. Rogge? 9 MR. G. REISING: Yes, I am. 1.0 CHAIRMAN T. WHEELER: And Bill, can you 11 introduce yourself? MR. W. GROTH: Yeah. And I'm Bill Groth, 12 G-R-O-T-H, the attorney for the Challengers, both 13 of whom are seated behind me, Mr. Farag and 14 Mr. Allen. 15 VICE CHAIR A. LONG: Now is all stuff you're 16 handing out after this case? 17 CHAIRMAN T. WHEELER: That's what all this is. 18 19 MS. L. BARNES: Yes. 20 CHAIRMAN T. WHEELER: Stuff that's come in 21 since the packet... VICE CHAIR A. LONG: I'm -- I'm trying my best 22 23 to stay up here. I may have to... CHAIRMAN T. WHEELER: All right. Mr. Groth, 24

you are the Challenger -- you're the Challenger,

and as such, you have the burden. I would like -- give me a brief statement and then we'll -- as we did in the last one.

MR. W. GROTH: Can -- I understand -- I had presented a submission of brief earlier today, and I'm trusting that that got distributed to the members...

CHAIRMAN T. WHEELER: Look like that?

MR. W. GROTH: Correct.

VICE CHAIR A. LONG: Oh, okay.

MR. W. GROTH: And so it might be beneficial to kind of follow -- follow me along through that.

VICE CHAIR A. LONG: Is this House District 62?

MR. W. GROTH: This is House District 3.

VICE CHAIR A. LONG: 3, okay. I'm sorry.

MR. W. GROTH: So let me -- let me proceed with a brief opening statement. This is a challenge to the right of George Rogge to run as a Democrat candidate in House District 3, and to the evidence, which I don't think is disputed, I think this is pretty much -- I'm hopeful it's purely a question of law, but the evidence is very clear and unmistakeable that Mr. Rogge has always been a loyal member of the Republican Party.

As you know, we don't in Indiana register by political party, unlike other states. So the way we determine whether someone is a Republican or a Democrat, first and foremost is to look at that person's voting record in the primary elections.

We produced as Exhibit 1 to our brief,
Mr. Rogge's voting record for about the last 25
years, I believe, and it shows in every primary
election over at least the last two decades, he has
voted in the Republican Party Primary.

We've also produced evidence in Tab 2 that show that is Mr. Rogge is a very generous man with his resources in terms of supporting Republican Party candidates. We also submit that Mr. Rogge has given up his time to the Republican Party.

To his great credit, he has served as a member of the Lake County Sheriff's Merit Board, as a Republican Party electee on that board, and he continued to serve on that position until his resignation this past December.

Now despite his long-standing history and record, voting record and so forth as a member of the Republican Party, Mr. Rogge, based on a certification issued by the Lake County Democratic Chair, Rudy Clay, last July, wants to run as a

Democrat in the primary election next May in House

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And I make the point on Page 2 of our brief that this is an issue that really cuts across party lines. It goes to the question of the integrity of a party primary, and the Supreme Court has made very clear that both major political parties have significant associational interests protected under the First Amendment in safeguarding the integrity of their primary elections and making sure that the nominees that run in those primaries or that result from those primary elections best represent that party's political positions and philosophies.

Now if we look at the statute, and the operate statute here is Indiana Code Section 3-8-2-7 Subsection 4, you will see that there are basically three ways that the legislature has determined one can ascertain a person's party affiliation.

There's only -- and as I said, the most typical way is you look at a person's voting record, and if we -- if we stop there, obviously, Mr. Rogge is a Republican, but he's submitted a certification that was signed last July by the Lake County Chair saying that on July 25 of last year when Chairman Clay signed that certification, he

was a Republican.

But we know from his own public statements and from his own conduct thereafter that he continued to be a member of the Republican Party after that certification was signed in July of last year. We know that because he made a statement to the Post Tribune in August 25 -- on August 25 of last year, that although the certification had been signed by Chairman Clay, Mr. Rogge didn't really intend that party conversion to take effect immediately. He didn't intend it to take effect until January of this year.

And not only do his words belie this certification, but he also continued to serve as the Republican electee on the Lake County Sheriff's Board for several months after this certification until his resignation on December 3.

Now our position, our legal position as I set forth on -- beginning on Page 5 of our brief is that Mr. Rogge was admittedly, by his own words, not a Democrat at the time of Mr. Clay's certification, and that's important because of what the statute says.

The statute says that if you're going to rely on the certification of a county chairman to

establish your political affiliation, the chairman
must certify that the candidate -- the candidate is

a member of that particular political party.

Now the certification that Chairman Clay signed contains that language. It says Mr. Rogge is a member of the Democratic Party as of July 25, when it was signed. But as I've indicated, he continued to serve as a Republican on that board for several more months and he made a public statement to the Post Tribune that he didn't intend this conversion to take place until January of this year.

So I don't know what his position is going to be here today, in terms of whether he was or wasn't a Democrat in July. But if he comes in here today and claims that in fact he was a Democrat in July, then I would suggest that you as fact finders need to look at the -- the undisputed evidence which shows that he in fact remained a Republican electee on the commission, on the Sheriff's Merit Board, and he made public statements that he didn't intend to become a Democrat until January 1.

And for that reason, because of those words, because of that conduct, this certification is not in compliance with the code. The code says if the

county chair is going to certify you, he certifies you as a Republican or as a Democrat at the time of that certification. Now that's what Chairman Clay said, but that's not what the evidence shows. The evidence shows in fact Mr. Rogge didn't intend this conversion to take place until several months later.

And I make the point also, and I'm almost finished here, I make the point also that a statement of future intention is not legally enforceable and that's why the legislature says if you're going to rely on certification, you have to state -- you have to certify with this person is indeed today a member of this political party, and that's what Chairman Clay said but that's not what the evidence shows.

And this is, I believe, undisputed evidence.

So in light of that fact, you have to go back and look at the voting record, and the voting record is unmistakable, Mr. Rogge is a Republican. He's always been a Republican. But he's decided that as of this year, apparently, he wants to become a Democrat, but he wasn't a Democrat when Chairman Clay certified his -- his political party affiliation. Therefore, certification is void

ab-initio and inoperative and you should rely on 1 his voting record to determine his political 2 affiliation, and if you do so, I think you have to 3 sustain these challenges. Thank you very much for 4 your time, Mr. Chairman. 5 6 CHAIRMAN T. WHEELER: Let me ask just a quick 7 question. Are you intending to call Chairman Clay? 8 MR. W. GROTH: No. 9 CHAIRMAN T. WHEELER: Is there a reason for 10 that? MR. W. GROTH: Because the certification 11 speaks for itself. The certification is --12 13 CHAIRMAN T. WHEELER: I -- I mean I agree 14 on --MR. W. GROTH: The certification is dated 15 16 July 25, 2007. It's our position that the evidence 17 shows that in fact Mr. Rogge was not a Democrat on July 25, 2007. 18 CHAIRMAN T. WHEELER: So the only evidence 19 we're going to have regarding Chairman Clay, the 20 21 chairman -- you don't dispute the issue of his certification? 22 MR. W. GROTH: 23 I -- I -- I can't.

CHAIRMAN T. WHEELER:

(indiscernible).

You in fact

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MR. W. GROTH: I can't, no. 1 2 CHAIRMAN T. WHEELER: So your only -- the only evidence is the Democratic Chairman's certification 3 is this piece of paper? 4 VICE CHAIR A. LONG: 5 This? CHAIRMAN T. WHEELER: Well, that's -- that's 6 not the Democratic Chairman. That's... 7 8 VICE CHAIR A. LONG: (Indiscernible). 9 CHAIRMAN T. WHEELER: That's just a statement. VICE CHAIR A. LONG: That is the Democratic 10 chairman of the election. 11 CHAIRMAN T. WHEELER: I do recall the Lake 12 County Chair was Spauldie (Phonetic). 13 VICE CHAIR A. LONG: It was Spauldie, and I 14 think he's sitting back in the audience. 15 MR. W. GROTH: I would Mr. Chairman -- to the 16 17 extent I need to do this, I would move to proffer 18 into evidence exhibits --19 CHAIRMAN T. WHEELER: And I apologize for 20 whatever --21 MR. W. GROTH: That's all right. 22 CHAIRMAN T. WHEELER: -- construction is... MR. W. GROTH: That's all right, Exhibits 1 23 24 through 8.

VICE CHAIR A. LONG: Now that's your -- that

you filed with us here today? 1 2 MR. W. GROTH: That's correct. VICE CHAIR A. LONG: 1 through 8. 3 So I know you're done 4 CHAIRMAN T. WHEELER: 5 with your preliminary statement. It sounds like you kind of did your whole case-in-chief? 6 7 MR. W. GROTH: I'm -- I'm not intending -- I'm not intending -- I'm not intending to call 8 9 witnesses, that's correct. 10 CHAIRMAN T. WHEELER: All right. So then 11 basically what we've got here -- with respect to the Democratic Party Chair in Lake County position, 12 13 that's the only articulation of that is a statement on the 4th? 14 15 MR. W. GROTH: And the question is whether that would void ab-initio because of the undisputed 16 evidence? 17 CHAIRMAN T. WHEELER: Speaking as a Republican 18 19 member of this commission, I'm missing something 20 Maybe that's an inappropriate question to ask. 21 I'm not missing anything. 22 VICE CHAIR A. LONG: I think what he's saying is that apparently 23 Mr. Clay believed Mr. Rogge made a conversion to 24

the like side here, come into the light from the

darkness, and Mr. Rogge has taken the position publicly that all of that wasn't true, I was planning on doing that sometime in the future but not now. I think that's what counsel is saying.

And the question in my mind is void out because certain (indiscernible) facts is proven to be false by the submission of the petitioner's evidence, would it be necessary for a reconversion of a recertification simultaneously with the filing of the ballot because I think that's the position here.

MR. W. GROTH: Yeah. And in fact, the statute talks about a candidate being a member of a political party, and there was no way Mr. Rogge could have been a candidate. He wasn't a candidate at the time of this certification because it took place way back in July of 2007.

And the code -- the election code defines a candidate as someone who's filed a declaration of candidacy or made a public announcement of intent to run for office. And if you look at exhibit 6, look at the Post Tribune article, Mr. Rogge made the statement that he at that time was not making any commitments to run for elected office in 2008 so he wasn't a candidate.

CHAIRMAN T. WHEELER: But Mr. Groth, didn't 1 Mr. Clay also say in that same article, quote, he 2 3 would be a tremendous candidate, Clay says, because he's always been a first-class community server? 4 MR. W. GROTH: Yeah. I think he would be a 5 6 tremendous Republican candidate. COMMISSION MEMBER D. DUMEZICH: 7 Has he made 8 any contributions to the Republican Party since his 9 conversion by Chairman Clay? I'm looking through 10 this and ... COMMISSION MEMBER S. RIORDAN: 11 Mr. Groth, I saw one in 2007 to IAI Political Action Committee. 12 Does anybody know what that is? 13 COMMISSION MEMBER D. DUMEZICH: 14 15 independent. 16 MR. G. ROGGE: May I answer? COMMISSION MEMBER D. DUMEZICH: 17 MR. G. ROGGE: That's the Independent Agents 18 19 of Northwest -- Independent Agents of Indiana. 20 CHAIRMAN T. WHEELER: Insurance agents. 21 MR. G. ROGGE: Insurance agents. That's what 22 I am. COMMISSION MEMBER S. RIORDAN: 23 That's in 24 (indiscernible).

CHAIRMAN T. WHEELER:

But that's not in the

(indiscernible). I defer to my Lake County friend 1 from the commission for that. 2 COMMISSION MEMBER D. DUMEZICH: It's the 3 insurance PAC? 4 MR. G. ROGGE: Yes. 5 COMMISSION MEMBER D. DUMEZICH: Which he's a 6 7 member. You're... MR. G. ROGGE: Yes, I am a member. 8 COMMISSION MEMBER D. DUMEZICH: Okay. 9 So... 10 CHAIRMAN T. WHEELER: Mr. Reising. 11 MR. G. REISING: Thank you, Mr. Chairman. CHAIRMAN T. WHEELER: Let me ask, so Mr. 12 Groth, you finished your -- that's your case 13 basically; right? 14 15 MR. W. GROTH: Subject to an opportunity to examine any witnesses, yeah. 16 CHAIRMAN T. WHEELER: Sure. 17 MR. G. REISING: Mr. Chairman and Members of 18 19 the Commission, my name is Greg Reising, and let me 20 assure the Democratic members that I am a yellow 21 dog Democrat. I served in the state legislature as a Democrat and I worked on my first campaign for 22 Senator Bayh in 1960, before I was old enough to 23 24 vote.

And what we have here -- if I can address the

gentleman's argument for just a minute, is we have (indiscernible) of the statute, because you have to be a candidate before you get certification, and you have to get the certification before you can be a candidate because you can't run as a candidate from one party to the other unless you have the certification.

But be that as it may, July 24th, 2007, was this man's birthday, George A. Rogge's birthday, and I've known Mr. Rogge for many many years and spent a portion of that time myself attempting to convert him to the Democratic Party for a variety of reasons.

And I think that the evidence will show that George Rogge was born into a staunch Republican family, that he honors his family, that he honors his father and his party for a number of years, and that when his party -- let's back up, when his father passed away in 2005, he began seriously reconsidering his position as a Republican.

He and I discussed that at length, and decided on his birthday, (indiscernible), that he was a Democrat philosophically, and he went to see his chairman, Rudy Clay, and told him that and told him that he in fact was a candidate to run for the 3rd

House District against Mr. Brown.

And he had read the statute and he knew he needed the certification from there and the mayor gave it to him. And on the very first day that he could announce, become certified for office, he sent the papers down to Indianapolis with the certification of the mayor attached to it.

He was an elected Republican official on the Lake County Merit Board. This board is chosen -- this particular position is chosen by members of the Lake County Sheriff's Department that are chosen -- that are subject to this merit commission.

Of course, this is Lake County. These people are overwhelmingly Democrat. They elected George a few years back as a Republican. In July of 2007, Mr. Rogge will testify that this was some things he wanted to finish up on that commission. When he finished them up on July 3rd, he resigned.

VICE CHAIR A. LONG: July 3rd?

MR. G. REISING: I'm sorry, December 3rd,
2007, he resigned. After he became a candidate
under the certification, he again had conversations
with Chairman Clay, told him that Representative
Brown had asked the chairman to withdraw his

certification, and Chairman Clay said he wasn't going to do it, that George was a Democrat, he was welcomed into the party as Mr. Clay had welcomed in the present Democratic mayor of Hammond and the present assessor of Ross Township, both of whom were Republicans that ran for office, while Mr. Clay was chairman on his certification.

And we have an unusual situation in Lake We have a race horse in the race for 3rd County. District Democratic representative, a race horse who believes in the principles of the Democratic Party and who thinks he can win that race. Other people think he can win that race, too, so they come down here and say well, he's not really a Democrat. We want him off the ballot. want the people of Lake and Porter County to be able to make that decision, we want you folks to make that decision -- don't give them the right to vote, exercise your vote to keep Mr. Rogge off the ballot because we have this theory about when you become a candidate, when you don't become a candidate, whether or not he's a good Democrat or a good Republican -- by the way, some of the candidates on that list are Democrats that he gave money to.

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But I think that for this commission to be asked to decide what somebody is thinking, what their political philosophy is, whether or not their a good Democrat or a good Republican would be a very very dangerous thing for this commission to do. We have a statute in the state that lays it out. You go to the chairman of the county in which you live -- not the chairman in the county next door to you, but the chairman of the county in which you live.

CHAIRMAN T. WHEELER: I take it that comment is meant to the Porter County chairman's statement is irrelevant under the statute; correct, it's the county --

MR. G. REISING: It's completely irrelevant.

CHAIRMAN T. WHEELER: And I take it the same thing. Have you seen Mr. Parker's statement?

MR. G. REISING: I saw that. I saw that.

CHAIRMAN T. WHEELER: Same position as irrelevant because the only thing that matters is certification from the county chair of where he lives?

MR. G. REISING: Well, I just -- I don't know Mr. Parker, but I assured Mr. Parker that he will discover when Mr. Rogge is a member of the state

legislature that he's a very good Democrat.

CHAIRMAN T. WHEELER: Assuming (indiscernible) vote against him?

MR. G. REISING: Well, and I think -- you know, I think that's a good point, Mr. Chairman, because if this board decides that its job -- it's the job of this board to decide who's a Democrat and who's a Republican, then that's the kind of situation you put yourself in virtually every year -- you're a good Democrat, you're a bad Republican.

CHAIRMAN T. WHEELER: And your position is that the statute says that that's the county chair's job?

MR. G. REISING: That's the county chair's job, the county chair did his job, and the county chair has not backed away from his job. The county chair has not said whoa, wait a minute.

CHAIRMAN T. WHEELER: Well, I note that you didn't call the county chair either; correct?

MR. G. REISING: Well, the county chair is a busy man and his statement is his statement.

CHAIRMAN T. WHEELER: Apparently so.

COMMISSION MEMBER D. DUMEZICH: He's doing a lot of jobs in Gary's City Hall right now.

tell us your history?

Well, as you have said, I was born into a very staunch Republican family, and you know I didn't exactly -- I was a good kid. I mean I never bucked my father and my mother and I was a good son and I honored their views until actually my dad died in 2005.

A

But there's -- you know, there's -- there's many things that growing up and getting involved in politics that I knew I wasn't a Republican, and if I look at, you know, some of the people that -- people that are presidents, our past presidents, I certainly am one -- my favorite president is Harry Truman.

And I feel that I'm a like Harry Truman.

Harry Truman set up the corporations, and I did so
in the last couple of years, in standing up against
U.S. Steel, and in this tax fight, I got the money
for taxpayers instead of U.S. Steel getting it.

I'm also one that applied to get a pollution tax introduced into the general assembly. In fact, I went to Charlie Brown trying to do so. Of course, a motion tax would be against -- at least in Lake County, the 74 corporate polluters, and these are kinds of things that a Republican wouldn't do. These are things that a Democrat does

or should do. And that's the kind of guy I am.

And actually going down the line, when I was a kid, JFK -- you know JFK in my -- in my childhood is -- is not evil. He's the one that started the lightbulb going off and looking into politics.

Walter Mondale -- Walter Mondale, I believe if he was actually president in 1984, we would absolutely have a total different country. We would not be in Iraq. After all, he came one vote in the senate of pulling some of the (indiscernible) and didn't have -- if you listen to him today, if you actually listen to what he says today, Walter Mondale says that we don't study history, we're condemned to repeat it and by golly that's what we've done (indiscernible).

So I -- I think everything I've done in the last few years has been as a good Democrat in all fairness and I became a Democrat -- absolutely.

- Q Well, George, your birthday is July 24th?
- A Yep.
- Q And on July 24th, 2007, did you give yourself a birthday present?
- A Yeah. I -- I have a strange way of celebrating my birthday. I try to do certain things in my community, and for the last probably 20 years,

my dad died, he had dedicated a new building or dedicated a new social type project, and this particular birthday in 2007, I in fact went to Mayor Clay, and I said I want to be a Democrat and I want to run a Democratic round.

Well, he came close to the date -- it was one day later, but I -- that was close enough for me, but that was my birthday present to myself and done that for a number of years like that.

- Q And George, at that time, July of 2007, you were serving on the Sheriff's Merit Board?
- A Yes, I was.
- Q As a Republican?
- **| A** Yeah.

- || Q| And how did you obtain that position?
  - A Well, the merit board -- merit board offices, it holds the police officers of the county for sheriffs and then for sheriff's deputies which are predominantly Democratic -- they're all a bunch of Democrats (indiscernible), and they voted for me to be on the merit board as representing them.

And in the year 2007, there was a number of potential cases with a number of merit board officers that could have or should have come out in

the fall due to the fact that their attorney,

Mr. Javonski (Phonetic) suddenly died, that they

(indiscernible) new attorney in time and I could

see that those items were not going to come out but

I didn't know that until December -- late November

and I -- I resigned on December.

CHAIRMAN T. WHEELER: Mr. Rogge, let me ask a question. The Lake County Sheriff's Merit Board is an elected position; is that correct?

MR. G. ROGGE: It's elected by the -- I am elected by the officers, not everybody.

CHAIRMAN T. WHEELER: All right. It's not -- it's not a politically elected position -- I'm confused? Do you stand for office on a ballot or are you just -- you're the FOP of (indiscernible)?

MR. G. ROGGE: Well, it's election from...

VICE CHAIR A. LONG: Not FOP.

CHAIRMAN T. WHEELER: It's not the FOP, the officers themselves -- I'm just trying to -- I do all the Marion County work and it's different apparently, and I'm just trying to -- you don't -- you haven't stood for election as a Republican member of the Lake County Merit Board to the general public; correct?

VICE CHAIR A. LONG: I'm sure he had a change

of heart because he had a position on the merit board, because being a member of the merit board, the position he was in as a prerequisite, if you're a Republican, and one of the members, the prerequisite they're a Democrat.

- Q And Mr. Rogge, to reiterate, you did not resign in July because you thought there were some things that needed to be done?
- **A** Yes.

- 10 Q And did any of these things have anything to do
  11 with the fact that you were a Republican and
  12 other people were Democrats?
  - A No. Actually, nothing -- nothing comes up as a Republican/Democrat on that board.
  - Q Okay. And when you had finished these items, some of them weren't (indiscernible), you resigned your position?
  - **A** Yes.
- **Q** And have -- have you talked to Mayor Clay since 20 your certification signature in July of '07?
- **| A** Yes.
  - Q And has he reiterated his support of your certification?
    - MR. W. GROTH: Well, I guess I would respectfully object. That's -- that's clearly

hearsay.

CHAIRMAN T. WHEELER: This is an administrative hearing, and hearsay is admissible, so as long as there is some admissible evidence that goes along with this that supports a position.

- Q Go ahead.
- **| A** Yes.
- 8 Q And did the mayor inform you that Mr. Brown tried to get him to change his mind?
- **A** Yes.
- 11 Q And did the mayor tell you that he wasn't going to change his mind?
- **A** Yes.
- **Q** And the mayor knew that you continued to serve on the merit board until December?
- **A** I don't know.
- Q And you have talked to him as recently as when?
- **A** Yesterday.
- And did the mayor indicate to you that he felt
  that you were a good Democrat and was going to
  stand not endorsing you because he's the
  chairman of the party so he wouldn't endorse in
  the primary, but he stood -- he stood by
  his -- his commitment to you as a Democrat?
- **A** Yes.

1	MR. G. REISING: I have no other questions of
2	this witness. Do you have any questions of this
3	witness?
4	CHAIRMAN T. WHEELER: I believe I believe
5	Commissioner Riordan has a question.
6	VICE CHAIR A. LONG: I have some have you
7	got some? I've got I've got
8	COMMISSION MEMBER S. RIORDAN: You go ahead.
9	VICE CHAIR A. LONG: All right. When had you
10	this transformation back on your birthday, did you
11	announce it to the world I'm a Democrat?
12	MR. G. ROGGE: Of the world?
13	MR. G. REISING: Of the world?
14	VICE CHAIR A. LONG: Did you say it in any
15	format, any group any place I'm now a Democrat?
16	MR. G. ROGGE: I said it to all my friends.
17	VICE CHAIR A. LONG: Okay. Are you a friend
18	of Joseph Kirk?
19	MR. G. ROGGE: Joseph Kirk is
20	VICE CHAIR A. LONG: Is he a friend of yours?
21	MR. G. ROGGE: Well, he's on the merit board.
22	VICE CHAIR A. LONG: I didn't ask I know
23	that. Don't tell me something I don't know.
24	MR. G. ROGGE: I'm sorry.
25	VICE CHAIR A. LONG: Tell me things I don't

1 know. Is he your friend? MR. G. ROGGE: I've never been -- I don't -- I 2 don't even know where he lives. 3 VICE CHAIR A. LONG: All right. Do you know a 4 5 John, is it Buncich? MR. G. REISING: Buncich. 6 7 VICE CHAIR A. LONG: I can't -- you know who I'm talking about. 8 MR. G. REISING: John Buncich. 9 10 VICE CHAIR A. LONG: Buncich, yeah, you know him? 11 MR. G. ROGGE: I know him, yes. 12 VICE CHAIR A. LONG: Is he your friend? 13 MR. G. ROGGE: Well, I -- I -- if being a 14 friend means you go over to their home and so 15 forth, no, I don't know where he lives. 16 17 VICE CHAIR A. LONG: Are you -- are you friendly? 18 MR. G. ROGGE: I'm friendly, sure, absolutely. 19 VICE CHAIR A. LONG: What about James Pope I 20 21 can pronounce that? MR. G. ROGGE: Yes. 22 VICE CHAIR A. LONG: Is he a friend of yours, 23 2.4 friendly with you. MR. G. ROGGE: Friendly, yes.

VICE CHAIR A. LONG: And John -- I can't -- Shelhart (Phonetic).

MR. G. ROGGE: Shelhart, yes.

VICE CHAIR A. LONG: These are members of the Sheriff's Merit Board, aren't they?

MR. G. ROGGE: Yes.

VICE CHAIR A. LONG: The reason I ask how much this transformation you say you've made, on September the 13th, 2007, under new business -- I don't even know which one it would be, is bringing in Buncich -- now how close am I?

COMMISSION MEMBER S. RIORDAN: Good.

MR. G. REISING: Good.

VICE CHAIR A. LONG: On another issue, Mr.

Buncich is requesting that Mr. Rogge advise the

board as to his political status with the board and

as far as his intentions effective date, etc. The

members of the election, or the merit board at

least September, some two months after you made the

transformation, they're asking you to tell them,

and you were at the meeting -- you're at that

meeting registered here and they asked you to tell

them what your status was, and I don't see anything

in the minutes saying I've seen the light. I'm now

a Democrat. Did you do that?

MR. G. ROGGE: No, I didn't do that.

VICE CHAIR A. LONG: Okay. Then I note in your voter history that you've never ever called for a Democratic ballot; is that a fair statement?

MR. G. ROGGE: That'd be fair.

VICE CHAIR A. LONG: And that as late as the primary election in 2007, you were still aligning yourself with the Republican Party when you called for the Republican Party ballot in 2007?

MR. G. ROGGE: Yes.

VICE CHAIR A. LONG: And it was sometime after the primary in May, between May and your birthday, that you changed your parties?

MR. G. ROGGE: Yep.

VICE CHAIR A. LONG: And you didn't -- do

you -- I mean the issue of you wanting something to

do -- you understood from being a many year member,

did you not, of the election -- of the merit board

that being a Republican was a prerequisite to hold

the position that you were, did you not?

MR. G. ROGGE: I -- I knew that, yeah.

VICE CHAIR A. LONG: And so you had -- in order to accomplish that and make your actions between July and December lawful, you could not become a Democrat and say hey, I'm a Democrat now

and still continue to be a member of that board, 1 could you? 2 MR. G. ROGGE: Oh, I think I can. 3 VICE CHAIR A. LONG: So the board requirement, 4 you have to be a Republican, and so you're saying 5 that the merit board had too much democrats on it 6 7 and maybe all of its decisions between July and December are void? 8 MR. G. ROGGE: I say that Democrat/Republican 9 10 has never come up in a board meeting in the whole time I've been there. 11 VICE CHAIR A. LONG: Well, yeah, it has. 12 Ιt come up on September -- in September when they 13 asked you to tell them what member of the party you 14 15 were in and you didn't do it, but it did come up. 16 Is that the only time you're saying it ever came 17 up? MR. G. ROGGE: That's the only time. 18 19 VICE CHAIR A. LONG: And you knew you were a Republican member of the board? 20 21 MR. G. ROGGE: I did. 22 VICE CHAIR A. LONG: It sounds to me like Mr. Clay has got an issue with Mr. Brown. 23 That's all the questions I've got. 2.4 25 COMMISSION MEMBER S. RIORDAN:

CHAIRMAN T. WHEELER: Please. 1 2 COMMISSION MEMBER S. RIORDAN: When did you 3 become a candidate for this office? MR. G. ROGGE: The first time, the first day I 4 5 applied. 6 COMMISSION MEMBER S. RIORDAN: Which was what 7 date, sir? 8 MR. G. ROGGE: February 25th. 9 COMMISSION MEMBER S. RIORDAN: Of what year. 10 MR. G. ROGGE: 2008. 11 MR. G. REISING: First day of filing. 12 MR. G. ROGGE: First day of filing. 13 MR. W. GROTH: It's Exhibit 8, Member Riordan. COMMISSION MEMBER S. RIORDAN: Did you ever 1.4 15 publicly declare that you would be a candidate for office before that? 16 17 MR. G. ROGGE: Yes. Yes, I did. 18 COMMISSION MEMBER S. RIORDAN: And what date 19 did you do that on? Did you have a kickoff at some 20 point or a fundraiser? 21 MR. G. ROGGE: Yeah. December 22nd, for sure. 22 COMMISSION MEMBER S. RIORDAN: Okay. But not before July of 2007? 23 MR. G. ROGGE: Before July what? 2.4

COMMISSION MEMBER S. RIORDAN: 2007, had you

COMMISSION MEMBER S. RIORDAN: -- or formed a 3 committee --4 MR. G. ROGGE: No. 5 COMMISSION MEMBER S. RIORDAN: -- or had a 6 public announcement before July of 2007? 7 MR. G. ROGGE: No. 8 That's all the COMMISSION MEMBER S. RIORDAN: 9 questions. 1.0 VICE CHAIR A. LONG: When did you form a 1.1 committee? You said you had a fundraiser in 12 December, I think you said. 13 MR. G. ROGGE: Yes. 14 VICE CHAIR A. LONG: Did you form a committee 15 back to December? 16 I did form a -- a exploratory MR. G. ROGGE: 17 committee. I don't remember a date. Yes, I --18 I -- here, this would be it. This would be it. 19 The IRS -- the IRS date is probably the date, 20 21 11/14/07. MR. G. REISING: Mr. Rogge filed a report and 22 receipts of expenditures in '07, and he formed a 23 committee in '07 as required by law, and 24 neither -- neither of us remember the date that we 25

No.

had a fundraiser --

MR. G. ROGGE:

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did that. It seems do me it was in -- it was in -- before that.

VICE CHAIR A. LONG: Well, they're going to bring the time down.

MR. G. REISING: Yeah.

MS. L. BARNES: That's what we -- yes. Mr. Chairman, we can -- we can verify that with our campaign finance -- campaign finance staff.

MR. G. ROGGE: Yeah. It was late October or early November.

COMMISSION MEMBER S. RIORDAN: Mr. Chairman?

CHAIRMAN T. WHEELER: Go ahead, Sarah.

COMMISSION MEMBER S. RIORDAN: I've -- I've been looking closely at the statute here, and 3-8-2-7 for declaration of candidacy, particularly Subsection A4(c) in my mind appears to require the county chairman's certification that the candidate is a member of the party in good standing.

And I don't think we've seen anything that would establish that you were a candidate at the time you converted to the Democratic Party and I think that -- I mean it, you know, goes without saying that we (indiscernible) the Democratic Party and wished that you had become one of us sooner.

But the statute for declaration of candidacy

requires that the chairman approve you as a candidate and certify that you're in good standing as a candidate. I don't think they were a candidate then. And so the determination that the chairman made at that time, to me, the requirements of the statute would have had to evaluate your standing as a (indiscernible) -- maybe I haven't said that correctly, but the statute requires that you have a certification of a candidate.

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And unless we see something else, that you've made no indication, some public declaration of candidacy either as defined by statute or otherwise, I don't think you were a candidate and I don't think (indiscernible) and I don't think it's all there.

That's -- I'm inclined to look at it that way.

If we want to see things from our campaign finance staff, we should, but that's the way I'm looking at it right now.

VICE CHAIR A. LONG: And Mr. Chairman, I would add to that and I concur with that, the statute that's being referred to is written in the present tense, that the county chairman must certify that the certification if the candidate is a member of the political party.

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And I don't think there's -- my observation is I don't think he became a member of the political party until he disavowed his membership in the Republican Party by stepping down from a position that he held that was a prerequisite, and that furthermore, when asked his political affiliation in September at the meeting of the merit board, he apparently, according to their minutes, remained silent, which my observation, I just -- it just seems to me we find ourselves in a -- being called to referee an inner party dispute in Lake County that I don't think is -- if we have any business in it or not.

I think Mr. Rogge is (indiscernible). We welcome him -- we, like most people, I don't want every (indiscernible) our party, but I mean a few of them we've turned down, but most people, we welcome in our -- under our tent, and we welcome Mr. Rogge. I just don't think he meets the requirement of a candidate.

MR. G. REISING: I'll add Mr. Rogge's testified that he told Mr. Clay on the 25th and -- that he was a Democrat and he was a candidate against Charlie Brown and the chairman of the party certified that and hasn't backed away

from that certification and I think that anything that if this -- if this commission were to deny him and the people of the 3rd District their right to vote because they decided that they know more about what the chairman was thinking in doing than the chairman was thinking in doing -- the chairman, who has not disavowed this, I think that would be a real tragedy for -- for the people of Gary.

And we filed our petition for candidacy on the first date that a petition for candidacy could be filed. We filed our petition with the State of Indiana long prior to that before we raised any funds -- is that the date there?

MS. L. BARNES: Yes.

MR. G. REISING: And what was that date?

MS. L. BARNES: November 14th, 2007, is when you opened your committee.

MR. G. REISING: Right.

CHAIRMAN T. WHEELER: Mr. Reising, let me -let me ask a question. I -- and I mean I actually
agree with much of what the Vice Chair said with
respect to -- this appears to be, with all due
respect, a Lake County dispute on Democrats so far
be it from me to speak to that particular issue.

On the other hand, I do note contrary to what

I think Commissioner Riordan was implicating, the certification did indeed certify you as a candidate. As I read the certification, it says that George A. Rogge is a member of the above named party in good standing, period. This certification is made in support of the declaration of candidacy filed by the above named candidate for the elections being held in the year 2008 only.

So just reading that, and I have seen -- if Mr. Groth had brought Mr. Clay here to testify that he disavowed this, I think that solves the problem.

MR. W. GROTH: Mr. Clay -- with all due respect, Mr. Chairman, Mr. Clay is not my agent for purposes of this case. He's Mr. Rogge's agent.

CHAIRMAN T. WHEELER: But you have the burden of proof there.

MR. W. GROTH: I understand that.

CHAIRMAN T. WHEELER: If your burden of proof -- if I read this statute correctly, and the way I read this statute, it says if the chair of the county that he lives in certifies him as a Democrat for -- and you're striking the word "candidacy." I read the word "candidacy" in here.

Now, let me finish, certifies the candidate is a member of that political party. It is my belief

that that's sufficient, that's my personal belief.

It is my belief that that county chair, the statute has given that county chair the ability to decide to make this determination. I'm not particularly interested in figuring out if Mr. Rogge is Republican or Democrat.

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And from my Republican standpoint, I'm disappointed that a man of his articulate nature has apparently determined that he has more in line with the Democratic Party. On the other hand, I believe -- this is me, personally, I believe and I believe that the general assembly said that those kind of decisions rests with the county chair.

And the county chair -- the only thing we have in evidence from the county chair is this piece of paper. And if this is a, you know, Lake County dispute between the county chair and Mr. Brown, for example, and he's recruited somebody to go after him, that's -- that's their prerogative.

I don't, personally, view that as the role of the commission to determine whether or not

Mr. Rogge is a Republican or Democrat. I view us -- I view us as looking at if this was fraudulent, if somehow he had repudiated this, but as I view this, this -- this is a statement that

has gone forth and was intended to support his candidacy -- in fact, it says that.

MR. W. GROTH: Right.

CHAIRMAN T. WHEELER: And that's -- that's how
I view it. I'm having difficulty with going
anywhere else here.

MR. W. GROTH: And you have to -- I'm sorry.

COMMISSION MEMBER D. DUMEZICH: And here's my issue with it. I'm the first to admit that the chairman made plenty of really stupid decisions. However, having said that, I also understand that they are the chairman.

MR. W. GROTH: I would like to point out, and I would like to ask a couple of questions on cross-examination, but I would like to point out that this -- we don't have -- it's not my theory of what a candidate is. It's the statute.

The statute says you become a candidate either by taking the action legally necessary under Indiana law, which we know wasn't done til November, or publicly announcing that you're a candidate for public office.

Now going into Mayor Clay's office and having a private tete-a-tete with him and say I'm thinking about running for mayor, will you, or running for

House District 3, will you certify me, is not equivalent to a public announcement. Therefore, if he has not a candidate by operation of the -- of the statutory definition, the certification by Clay that he is a -- that he is a Democratic Party member and he is a candidate is -- is simply void and inoperative.

COMMISSION MEMBER D. DUMEZICH: See I don't -- I don't think that, because if you go in and the first person -- the person you tells the county chairman, I believe you're making -- you're telling the party that at that point in time.

MR. W. GROTH: Well, you can tell your wife that, but that doesn't make it a public announcement.

COMMISSION MEMBER D. DUMEZICH: No. But when you tell the chairman of the party that, I think that you are making it public. I think that that -- that in and of itself, that action, telling the official head of the Lake County Party that you're a candidate for it, I think achieves the public -- achieves the -- the intent of the statute.

MR. W. GROTH: Well, I would respectfully disagree far differently. But Mr. Chairman, may I

ask a few questions on cross-examination?

CHAIRMAN T. WHEELER: Sure.

COMMISSION MEMBER S. RIORDAN: Let me -- let me just --

MR. W. GROTH: Sure. Go ahead.

COMMISSION MEMBER S. RIORDAN: -- direct the Commission's attention to 3-5-2-6, which actually tells us -- which defines a candidate, and that's where I took my questions from, when did you form a committee, when did you make a public declaration?

I think -- well, 3-5-2-6 is the section. It tells us that a candidate is someone who's taken the action necessary to qualify for listing on the public or has publicly announced or declared candidacy or otherwise seeks nomination for or election to an elected office, regardless of whether the individual wins.

And I think that we've established that none of those things happened before the date of certification.

MR. G. REISING: Well, the first thing did -- the first thing did happen.

COMMISSION MEMBER S. RIORDAN: Before the certification? I mean I guess my point is --

MR. G. REISING: The first thing happened --

the first --

COMMISSION MEMBER S. RIORDAN: Pardon me. I guess my point is that on the date that this was signed, you weren't a candidate. There was no declaration of candidacy. You weren't a candidate. And even the chairman has to follow the statutes of Indiana, and that would be my approach, but...

MR. G. REISING: If I may?

COMMISSION MEMBER S. RIORDAN: Sure.

MR. G. REISING: The statute you just read, the first -- the first line says takes action necessary to become a candidate. As someone who had voted in the Republican primary in previous election, the first action that he had to take to become a candidate was to get the chairman of the county in which he resides to certify him as a Democrat. That's the --

COMMISSION MEMBER S. RIORDAN: To certify him as a candidate.

MR. G. REISING: And that's what the certification --

COMMISSION MEMBER S. RIORDAN: And he wasn't a candidate.

MR. G. REISING: That's what the certification says. The certification says he certifies a

candidate. The first legal step George Rogge had to take to become a candidate for that office was to get that certification. That's what he did.

COMMISSION MEMBER S. RIORDAN: Well, I

don't -- I don't agree that that was the first step
that he had to take. The first step that he had to
take was to -- I mean he could have taken any first
step. He could have thrown a fundraiser. He could
have hopefully opened his committee before that.
He could have held kick-off party. He could have
written letters to the editor. He.

Wasn't required to get -- he's required to have the certification when he is a candidate. And admittedly, this really goes to both sides, I feel a whole lot better if Mayor Clay were here. I'd feel a whole lot better if Mayor Clay had amended this or played a date that was current when he was a candidate after he had become a candidate. And these distinctions, I think, are really -- are substantial.

## MR. G. REISING: Well...

commission member s. Riordan: I mean one -- one way to go would be if it's all about the county chairman, then you know, we want to hear from the county chairman, but I think we have a

certification before you became a candidate. And if you were going to use these -- the language of that particular certification to, you know, approve him in some future, it could have been candidacy for President of the United States. It could have been, you know, a candidacy for anything.

But we don't have Mayor Clay's present certification of you as a candidate for this particular office and I believe that's what the statute requires. That's the way I see it.

MR. G. REISING: Well, if that -- if that were true, then the certification wouldn't be good at any point in time because it certifies him as a Democrat in the 2008 elections. This is what we're -- he is a candidate in the 2008 elections.

To say that -- you just read the statute.

Mr. Rogge, the first thing he had to do to become a candidate, was to get that certification. If you're saying it was the last thing he had to do after he became a candidate, then -- then he could never be a candidate because he couldn't be.

MR. G. ROGGE: Well, it says I have to be a candidate in the year 2008. That's what it says.

MR. G. REISING: That's not the certification.

MR. G. ROGGE: On that page, it says that. I

1 mean I...

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commission member D. Dumezich: I would -- I would argue that the construction of the -- of the view of the statute, as interpreted by my learned colleague, would strip that qualification out of the statute, would make that -- it would make that clause a nullity.

MR. G. REISING: Sure.

commission member D. Dumezich: Because you've got he's a candidate, to get a certification, but in order to be a candidate, you have to have the certification and...

COMMISSION MEMBER S. RIORDAN: No, I don't think so at all. I think you can receive the certification after you become a candidate.

COMMISSION MEMBER D. DUMEZICH: No. If you go down to the ask to register as a Democrat for office, they look at the last time you ran, and if you pulled an R, you can't register. You cannot become a candidate (indiscernible).

VICE CHAIR A. LONG: It's a prerequisite for filing for candidacy.

CHAIRMAN T. WHEELER: Right.

VICE CHAIR A. LONG: But it's not a prerequisite to becoming a candidate.

COMMISSION MEMBER S. RIORDAN:

ORDAN: Exactly.

VICE CHAIR A. LONG: I can announce that I'm going to be the Republican candidate for the president -- for governor this year, if the deadline hadn't run, and I announced it, then that makes me a candidate. When I say that to the world, I become a candidate, and I don't need the chairman's certificate saying I'm a Republican in good standing, but I do need it to file.

COMMISSION MEMBER S. RIORDAN: Thank you.

VICE CHAIR A. LONG: The filing is one of
the -- one of the acts that makes you a candidate.
It is not the only act. I think that's what
Sarah's question -- Commissioner Riordan's question
was earlier, did you -- I mean if he had a
fundraiser, that -- that means you have to file a
committee and start receiving money. There should
be -- exploratory committee.

All of these things, he didn't need really certification to form his exploratory committee, he didn't file that with us. He needed it only if he was going to file a declaration of candidacy, and I think that's where you all are differing. And I agree with you completely, it's a prerequisite to filing. It's not a prerequisite to becoming a

1 candidate.

commission member s. Riordan: And you can't just file on a certain candidate. So we don't have to do them all -- they don't all become operative at one time.

CHAIRMAN T. WHEELER: Let me ask -- let me ask a second question. You focused on 1 and 2. No. 3 says otherwise seek nomination for election to an elected office. It is my understanding that the first step in seeking nomination to anything is to go to your chair -- when it's a state office, you go to your state chair.

VICE CHAIR A. LONG: That's merely -- that's the proper etiquette.

CHAIRMAN T. WHEELER: But what my point is -VICE CHAIR A. LONG: But I guarantee when I
was county chair --

CHAIRMAN T. WHEELER: What my point is --

VICE CHAIR A. LONG: -- for 13 years, people filed all the time, and Ed Treacy maybe up here, and that's a -- he was the Lord over the party here (indiscernible), but people filed all the time and didn't come and ask me if they could file? They did it if they were Republican wanting to file Democrat, but not other...

commission member D. Dumezich: Did you ever -- did you ever sign the papers for them?

VICE CHAIR A. LONG: No.

CHAIRMAN T. WHEELER: Let's do this, is there anything further before we go to the commission?

Do you have anything further, Bill?

MR. W. GROTH: I had some cross-examination.

CHAIRMAN T. WHEELER: Okay.

## CROSS-EXAMINATION

## QUESTIONS BY MR. WILLIAM R. GROTH:

- Mr. Rogge, you're not denying, I take it, that you told a reporter for the Post Tribune on or about August 25, 2007 that you didn't intend your conversion to the Democratic Party to take place until January 1?
- reading from actually the -- the certification of county chairman, and I -- the way I read it, this certification is (indiscernible) declaration of candidacy filed -- filed (indiscernible) county for the election to be held in 2008, and that's -- that's what I was -- I didn't do anything wrong.

And that's what I was referring to. In fact,

if you keep reading that article, I --1 You're not denying in that article, are you? 2 3 MR. G. REISING: Let him finish his -- let him finish his answer. 4 MR. W. GROTH: Well, he's -- he's 5 filibustering at this point. He's not answering 6 7 the question. I'm not filibustering. I'm answering the 8 9 question. 10 CHAIRMAN T. WHEELER: Hold on for just 11 a -- give me just a second. Mr. Groth, ask your question again? 12 My question, Mr. Rogge, which I think takes a 13 yes or a no answer, is: Did you in fact tell 14 15 the Gary Post Tribune reporter on or about 16 Saturday, August 25, that you didn't intend your 17 conversion to the Democratic Party to take place 18 until January 1, 2008? That's a factual 19 CHAIRMAN T. WHEELER: 20 question. Did you make that statement? 21 yes or no question. 22 In part, yes. 23 CHAIRMAN T. WHEELER: And certainly, your

attorney can follow up with what you meant by it,

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and that's fine.

- And isn't it also true that you told the
  reporter that -- at or about that same time that
  you had not made any commitments to run for
  elected office if 2008?
  - A I -- the answer is yes.

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- Q All right. Thank you. And you -- you've
  testified about --
- 8 A I did not -- I did not want -- no, wait. You know...

CHAIRMAN T. WHEELER: Let me -- let me -Mr. Rogge, let me have your attorney follow up with
you to do it. It's going to be a lot cleaner.

Q And Mr. Rogge, you made a point of stressing that your parents were Republicans, and you voted the way you thought you -- they wanted you to vote, and my parents are Republicans, too, but it didn't keep me from voting as a Democrat.

Now the question is: Your dad died in 2005, you continued to vote in the Republican primary after that, didn't you?

- A Yes.
- Q And in terms of your contributions, you've testified that you've given some contributions to democrats. Isn't it true that the only contribution you ever given is somebody by the

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name of Dilworth -- any -- she's the only
1
        Democrat that you gave a contribution to; is
2
        that true, Mr. Rogge -- you can answer the
3
        question. I'm not asking your lawyer.
4
        The only Democrat?
5
        Yeah.
6
7
        No.
    A
        Well, what other Democrats have you contributed
8
9
        to?
        Sheila Moss, the judge.
10
        Tell me -- tell me who Dilworth is; who is
11
        Dilworth?
12
        McKenya Dilworth is a Democrat, and she ran for
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    A
        this same office.
14
        Is she a friend of yours?
15
        Yeah.
16
    A
        Are you romantically involved with her?
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              MR. G. REISING: I'm going to object to that.
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19
        This is...
                                     I think that's...
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              CHAIRMAN T. WHEELER:
                             You're not going to let him
21
              MR. W. GROTH:
        answer that?
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              CHAIRMAN T. WHEELER:
                                     No.
23
              MR. W. GROTH:
                              Okay.
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CHAIRMAN T. WHEELER:

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I've sustained the

objection.

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- Q And it's true, is it not, that you were not a Democrat on July 25, 2007?
- A I'm saying I was.
- Q But you continued to serve on the sheriff's commission as a Republican until December 3rd?

CHAIRMAN T. WHEELER: All right. At this -- at this time -- we've gone through all this over and over again. I think the Commission is at a point to take action in this. I'll accept a motion.

VICE CHAIR A. LONG: I'll make a motion that the challenge be sustained for the reasons I stated earlier.

COMMISSION MEMBER S. RIORDAN: Second.

CHAIRMAN T. WHEELER: Motion's made and seconded, any further discussion?

(No response.)

CHAIRMAN T. WHEELER: I have a brief -- I believe -- although there are serious concerns that have been raised, I have a real problem that the state chair isn't here. Removing the county chairman's prerogative, I think that's -- that's -- I have significant concerns about that because I -- I believe strongly that the intent is that the

county chair ought to control who's deciding who's 1 a Republican and who's a Democrat on this issue. 2 With that, the motion has been made, it's been 3 seconded, all in favor, signify by saying aye? 4 THE COMMISSION: Aye. 5 Those opposed, same CHAIRMAN T. WHEELER: 6 7 sign? (No response.) 8 CHAIRMAN T. WHEELER: Motion carries 4-0. 9 challenge is sustained. 10 MR. B. KING: Excuse me, very quickly for the 11 record... 12 (Indiscernible). VICE CHAIR A. LONG: 13 MR. B. KING: I do. 14 VICE CHAIR A. LONG: I need to take a drink of 15 water. 16 MR. B. KING: There -- there were two 17 challenges filed in this matter, Cause 172 and 173 18 (indiscernible). 19 VICE CHAIR A. LONG: Can we take five? 20 CHAIRMAN T. WHEELER: Five-minute break, and 21 then we will start with Barbara A. Wyly -- I'm 22 sorry, Mark Wehrle. 23 (A recess was taken.) 24

CHAIRMAN T. WHEELER: All right, without a

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gavel once again, I will call this meeting back to 1 Next on our agenda is 08-175 in the matter 2 of the challenge of the candidate Mark Wehrle. Ιs 3 Mark Wehrle here or anybody representing Mark 4 Wehrle? 5 (No response.) 6 7 CHAIRMAN T. WHEELER: Is Angela Chasey here or anybody representing Angela Chasey? 8 (No response.) 9 I would ask the 10 CHAIRMAN T. WHEELER: Division -- I believe if no one is here, that we 11 will dismiss the complaint; is that the correct 12 13 process? MS. P. POTESTA: (Indiscernible). 14 VICE CHAIR A. LONG: (Indiscernible). 15 CHAIRMAN T. WHEELER: Yeah, (indiscernible). 16 17 It doesn't say. COMMISSION MEMBER S. RIORDAN: But it's not in 18 19 the book. It's still on the agenda. 20 VICE CHAIR A. LONG: Oh, I -- is it agenda? I was following the book. 21 CHAIRMAN T. WHEELER: I was following the 22 book, too. 23 COMMISSION MEMBER S. RIORDAN: Yeah. There's 24

one for Toby Fields.

1 CHAIRMAN T. WHEELER: All right. We'll do Toby Fields. 2 COMMISSION MEMBER S. RIORDAN: Excellent. 3 CHAIRMAN T. WHEELER: Did you call out there? 4 5 VICE CHAIR A. LONG: Did you call for Fields? 6 CHAIRMAN T. WHEELER: No. VICE CHAIR A. LONG: Fields here. 7 MR. T. McCLAMROCH: Here. 8 9 CHAIRMAN T. WHEELER: Hold on. No Fields. 10 Give me one second. 11 MS. P. POTESTA: I just... 12 CHAIRMAN T. WHEELER: Go out there and ask for 13 Wehrle or Angela Chasey. 14 VICE CHAIR A. LONG: Three times. CHAIRMAN T. WHEELER: Three times loudly. 15 16 Anybody? 17 (No response.) 1.8 CHAIRMAN T. WHEELER: Let the record -- let 19 the record show that the Division has called three times loudly for Wehrle and for Chasey. Seeing 20 21 that they're not here, I'll accept a motion to 22 dismiss the challenge. VICE CHAIR A. LONG: So moved. 23 CHAIRMAN T. WHEELER: Do I have a second? 24

VICE CHAIR A. LONG: Second.

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CHAIRMAN T. WHEELER: The motion's made and 1 seconded, any further discussion? 2 (No response.) 3 CHAIRMAN T. WHEELER: Hearing none, all in 4 favor signify by saying aye? 5 THE COMMISSION: Aye. 6 7 CHAIRMAN T. WHEELER: Those opposed, same sign? 8 (No response.) 9 CHAIRMAN T. WHEELER: 10 The challenge in 01-175 has been dismissed. What number is the Toby Fields 11 12 one? MS. P. POTESTA: That is 179. 13 I don't have it in my 14 CHAIRMAN T. WHEELER: 15 book. VICE CHAIR A. LONG: I don't have it. 16 MS. P. POTESTA: This is strange, though. 17 Ι 18 can't believe... CHAIRMAN T. WHEELER: All right. How come I 19 20 don't have Toby Fields in my book? 21 VICE CHAIR A. LONG: Is it the number? MS. P. POTESTA: Because I think... 22 VICE CHAIR A. LONG: 179 -- I don't have 179 23 either. 2.4

CHAIRMAN T. WHEELER:

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I don't have it either.

1 MS. P. POTESTA: Yes. 2 CHAIRMAN T. WHEELER: 3 4 5 correct? 6 MR. B. KING: 7

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Are you going to give us something on...

Okay. All right. This one is a little -- this is not a candidate challenge. This is an improper filing; am I

Yes, Mr. Chair, that's correct. This filing was with the Election Division and was not included on the certification of candidates made on March 4th of 2008.

CHAIRMAN T. WHEELER: All right. Since this is not a challenge, we'll do this slightly differently. What I'm going to do is ask the Division, and Brad actually just did it, but Brad, just briefly from the Division's standpoint, what's the status? Did we ever get this paperwork? When did we get this paperwork?

MR. B. KING: Mr. Chairman and Members of the Commission, I believe we received this paperwork on the file stamped date on the letter which is March 4th, 2008. We had not previously received a CAN-2 filing that was attached to that or the CAN-7, the candidate filing of information which is signed by the Lawrence County circuit court clerk.

> CHAIRMAN T. WHEELER: And Leslie, it looks

1 like you had a conversation with him, according to his letter. 2 MS. L. BARNES: Yes, I did. 3 CHAIRMAN T. WHEELER: As I understand it, he 4 didn't file -- has he ever filed anything with us? 5 MR. T. FIELDS: Yes. 6 CHAIRMAN T. WHEELER: Do we have all the forms 7 now? 8 9 MR. T. FIELDS: Yes. I've got... CHAIRMAN T. WHEELER: (Indiscernible)? 10 11 MR. T. FIELDS: I've got the copies where I filed it with the -- the day I came up, after we 12 had our phone conversation. 13 MS. L. BARNES: What . . . 14 MR. T. FIELDS: 15 The... VICE CHAIR A. LONG: I have the letter filed 16 by March 4th. 17 MR. T. FIELDS: Right. I've got the CAN-2 and 18 19 the 7, I believe. 20 MS. L. BARNES: (Indiscernible) March 4th? Yeah. 21 MR. T. FIELDS: CHAIRMAN T. WHEELER: What's the February 22nd 22 23 date on the paperwork? 24 MS. P. POTESTA: Copies. 25 VICE CHAIR A. LONG: He filed with the county

instead of the Election Division, is that the 1 issue? 2 MR. T. FIELDS: Right. 3 MS. L. BARNES: 4 Yes. MR. T. FIELDS: Yeah. I had called into and 5 called to the clerk's office, and they told me to 6 7 get -- to see what I needed to do, how to go about filing, and I was told there in the week before the 8 filing deadline that they could take care of 9 10 everything in Lawrence County. CHAIRMAN T. WHEELER: 11 Is there a reason why it's not fully filled out? It doesn't show your 12 precinct, the very first form. 13 COMMISSION MEMBER D. DUMEZICH: 14 Did you submit 15 an economic interest report? No. What I've -- what I've MR. T. FIELDS: 16 got here is what I done at the county and I filled 17 out -- this is what they gave me at the -- at the 18 19 voter registration. I went into the clerk's office and talked to 20 21 Myron Rainey (Phonetic), and they gave me the 22 paperwork to fill out right there, and put the seal 23 on it and done all the confirmation and everything. And I left there and then I got a phone call 24

on Friday -- on that evening when I got home at

4:00 o'clock that said that they couldn't forward it to the state, to the Secretary of State's Office, and that I would have had to have it there by noon on Friday.

And so -- when I talked to them, and then I immediately tried to call the election division at 3:45 or 4:00 or whatever it was that afternoon, and I talked to.

MS. L. BARNES: Leslie.

MR. T. FIELDS: No.

MS. L. BARNES: No.

MR. T. FIELDS: I talked to -- I'm not sure who -- because when I called and asked who I need to talk to and they gave me the voice mail.

MS. L. BARNES: Okay.

MR. T. FIELDS: And I ended up calling like three or four times to get let back in, to get ahold of somebody -- to try to get ahold of somebody on Friday afternoon to see what I could do, and so they told me to call in on Monday morning.

So I called back at 8:00 o'clock on Monday morning and we got -- then when you called me back probably -- was it Tuesday?

MS. L. BARNES: That sounds right.

You said -- you called me back 1 MR. T. FIELDS: and told me that I needed to get this and what I 2 could do and bring it up to you. 3 MS. L. BARNES: You had asked --4 MR. T. FIELDS: To get on the agenda. 5 MS. L. BARNES: -- to speak to someone you 6 7 could appeal to it, if there was anyone that had statutory --8 MR. T. FIELDS: Right. 9 -- authority to extend the MS. L. BARNES: deadline? MR. T. FIELDS: Right. CHAIRMAN T. WHEELER: And your response was we can't do it under the statute if there is an emergency or natural disaster? Right. I said the Division MS. L. BARNES: cannot accept a late filing by statute but I said the Commission does have statutory authority to extend the deadline under certain circumstances. CHAIRMAN T. WHEELER: And I believe the two circumstances that were given under statute are natural disaster or other emergency that makes it impossible or unreasonable for a person to perform the duty to file a document by the time specified in this time. So what natural disaster or

emergency?

MR. T. FIELDS: I didn't have any natural disaster or emergency other than the fact that I owe oh when I had made a couple of trips into the clerk's office and talked to them about what I needed to do to file?

And I -- and the information that I got there, they told me that I could -- they could take of everything there and all I had to do was bring it in there and file by 12:00 o'clock on Friday.

And I went back on Friday morning, before I got everything else that I was -- needed to do, I went back in there on Friday and filed these papers right here, walked out with these, and they told me everything was done and square, and I walked out of there that morning at 8:30 or 9:00 o'clock.

CHAIRMAN T. WHEELER: Figured you were done?

MR. T. FIELDS: And thought I was done. I come in the door at quarter to 4:00 on Friday evening, and my wife says you've got a message, voice mail you need to listen to.

CHAIRMAN T. WHEELER: From the clerk's office?

MR. T. FIELDS: From the clerk's office, and
they told me you need to have that there -- you
need to deliver that to Indianapolis by 12:00

o'clock today, and this was at...

MR. T. FIELDS: 4:00 o'clock. And I said ell, had they told me that on the week before,

CHAIRMAN T. WHEELER: 4:00 o'clock; right?

well, had they told me that on the week before, when I went in to talk to them or had they told me that when I was in there at 8:30 or whatever on Friday morning, I would have had plenty of time to -- you know, it would have been here.

But they told me it was all -- when I walked out, I had all this in my hand with the court seal on it.

CHAIRMAN T. WHEELER: Stamped and everything?

MR. T. FIELDS: Stamp telling me that I was

done and ready to go, and I walked out the door

and...

CHAIRMAN T. WHEELER: I -- I understand your problem. The problem we've got is statutorily, unless it was an emergency or natural disaster, we cannot go ahead and accept that or extend that, and I think that's what Leslie has indicated, too.

And frankly, historically, you're not the only one that's had -- it has happened a numerous times, since I've been on the Commission, and I know

Commissioner Long has been on it. You didn't go to the website and look at the Commission's website?

I know we have this information. It's all on our website.

MR. T. FIELDS: There's -- there's one mention on that on Page 38 or something on the handbook in there that it has to be on the website that's -- on there where it says to file, and it tells on there, it states nothing on the handbook until about 30 pages later that it has to done in person at the Secretary of State's Office.

COMMISSION MEMBER D. DUMEZICH: I'm troubled by something else, though, because I remember when I filed, I thought I had to send in a statement of economic interests contemporaneously with it, and it had to be filed with the...

MR. T. FIELDS: They told -- and I've got.

COMMISSION MEMBER D. DUMEZICH: The clerk and the house, (indiscernible) -- I mean that presents a problem. I mean you haven't given adequate disclosure to -- to voters of your (indiscernible) financial interests.

MR. T. FIELDS: They told me at the clerk's office and they gave -- I mean, I've got it all right here, they told me that that did not have to be filed, that I could turn that in after -- after this, that I could bring it up.

And they didn't give me a date. They just 1 told me that I had to -- to... 2 COMMISSION MEMBER D. DUMEZICH: That's 3 campaign finance. That's something different --4 MR. T. FIELDS: Okay. 5 COMMISSION MEMBER D. DUMEZICH: -- based on 6 what I'm looking -- what I'm seeing there is 7 campaign finance (indiscernible). This is an 8 entire form that has to be filed with the clerk and 9 the house. 10 VICE CHAIR A. LONG: If you're running for 11 legislative offices or judicial offices, there's 12 statement of economic interests that have to be 13 filed. 14 COMMISSION MEMBER D. DUMEZICH: If you own 15 over \$10,000 in stock in a company, if you -- all 16 sorts of things. 17 18 MR. T. FIELDS: Okay. COMMISSION MEMBER D. DUMEZICH: I mean it's a 19 very very long... 20 CHAIRMAN T. WHEELER: I defer to the 21 legislators (indiscernible). The commission 22 members have, obviously, been through these -- that 23 process. 2.4 COMMISSION MEMBER D. DUMEZICH:

horrible pain in the rear end, is my point, but I don't think you've gotten to that. As you sit here, I still don't think that you have fulfilled all of the requirements to be a candidate for office, is the point that I'm making.

MR. T. FIELDS: The only thing -- the only point that I was making on there, though -- I mean when I went to the clerk's office and I talked to the -- I talked to three different people at the Lawrence County Clerk's office, and they told me, because I went in ahead of time, and they gave me the information -- they said this is what we need to do, and as long as you get this done, and they gave me the paperwork and I filed it and I done exactly what they told me to do, you know, and that's what -- I mean...

CHAIRMAN T. WHEELER: I understand exactly what you're saying. What I would do is lodge a complaint with them. The problem is from the Commission's standpoint, the general assembly does not allow us to fix that particular problem.

They told us that the only thing we can fix is if there is a natural disaster or other emergency.

MR. T. FIELDS: So you have no control over the people that work under you?

They don't work for us. CHAIRMAN T. WHEELER: 1 COMMISSION MEMBER D. DUMEZICH: They don't. 2 CHAIRMAN T. WHEELER: That is a separate 3 elected official. The Lawrence County Clerk is an 4 elected official. 5 I realize that. MR. T. FIELDS: 6 CHAIRMAN T. WHEELER: You understand that. 7 MR. T. FIELDS: But -- but you... 8 CHAIRMAN T. WHEELER: If --9 MR. T. FIELDS: 10 They don't have to answer to 11 anybody but the local? CHAIRMAN T. WHEELER: Well, if there was --12 They answer to the clerk. that's correct. 1.3 Division staff answer to the two co-directors who 14 are sitting here. 15 MR. T. FIELDS: But who does the clerk -- who 16 does Myron Rainey at the clerk's office... 17 CHAIRMAN T. WHEELER: Lawrence County. 18 19 that the Lawrence County Clerk? 20 MR. T. FIELDS: It's Lawrence County Clerk. CHAIRMAN T. WHEELER: The people of Lawrence 21 22 County. But they're -- I mean who's MR. T. FIELDS: 23 2.4 the...

CHAIRMAN T. WHEELER:

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The people of Lawrence

MR. T. FIELDS: Above that -- I mean so they 2 don't have to answer to anybody until election 3 time? 4 CHAIRMAN T. WHEELER: If there's gross 5 misfeasance. 6 VICE CHAIR A. LONG: If they're being 7 impeached or charged with a crime, there are some 8 exceptions for general running of the offices, 9 that's right. 10 CHAIRMAN T. WHEELER: I understand -- I 11 understand your concern and... 12 That -- that concerns me a MR. T. FIELDS: 13 great deal. 14 CHAIRMAN T. WHEELER: I agree. 15 MR. T. FIELDS: If there's -- if there's a 16 county office that has no accountability to nobody 17 but the voters... 1.8 CHAIRMAN T. WHEELER: The people of that 19 county, people of Lawrence County. 20 Only to the voters? MR. T. FIELDS: 21 CHAIRMAN T. WHEELER: Only to the voters. 22 MR. T. FIELDS: It's all that's there? But 23 everybody else has to answer to the State Board of 24 Accounts and different ones that -- the checks and 25

County.

1 balances.

VICE CHAIR A. LONG: That's only as to ballots and the books.

MR. T. FIELDS: That's just for the book, but as far as being right or wrong -- well, shoot, I'm in the wrong place.

CHAIRMAN T. WHEELER: I appreciate you coming and I apologize -- although, I guess I shouldn't apologize for the Lawrence County Clerk. We just said that that problem is...

VICE CHAIR A. LONG: Sometimes it fails and sometimes it...

the general assembly has not -- not given us the ability the do that, and perhaps for good reason.

They don't us to instate candidates that have not fulfilled certain requirements absent an emergency or natural disaster. That's our...

MR. T. FIELDS: So the -- but the -- so the paperwork of Lawrence County Circuit Court is zilch?

CHAIRMAN T. WHEELER: That should have been filed up here and they are -- you tell them that and I would go back and explain that to them politely but friendly --

MR. T. FIELDS: Oh, I will.

CHAIRMAN T. WHEELER: -- and to perhaps some people when that clerk runs again.

MR. T. FIELDS: Well, this -- this clerk hasn't ran. He was appointed. The other clerk.

And I'm not here to -- I mean...

CHAIRMAN T. WHEELER: I understand.

MR. T. FIELDS: Because it's almost -- you know, I've got a civil case. You know I could do -- you could follow because of that, if you wanted to do that, but that's not what I'm -- you know, I'm not looking at, you know, anything like that. All I want to do is get my name on the ballot. I've been waiting to do this for several years, until Jerry (indiscernible) stepped down.

VICE CHAIR A. LONG: Next time --

CHAIRMAN T. WHEELER: Call one of our -- either one of them.

VICE CHAIR A. LONG: -- call these offices and they'll send you a packet of everything you need.

I assume the state of economic interest comes out with a packet, does it not?

MR. B. KING: Yes.

CHAIRMAN T. WHEELER: And if you do it again, call our staff. I mean our staff -- you know,

we've taken criticism of our staff and I know the 1 co-directors have addressed criticism like that 2 when our staff has been at fault, but in this case, 3 this wasn't our fault, and we're not -- we're just 4 not given by the general assembly the ability to 5 rectify a problem. 6 7 MR. T. FIELDS: Okay. VICE CHAIR A. LONG: So we move to --8 CHAIRMAN T. WHEELER: Deny the request. 9 VICE CHAIR A. LONG: -- deny the -- well, 10 actually, we don't have -- what do we have 11 officially before us? 12 We have a request for CHAIRMAN T. WHEELER: 13 extension of time? 14 VICE CHAIR A. LONG: Motion to deny for one of 15 jurisdiction. 16 COMMISSION MEMBER D. DUMEZICH: Second. 17 CHAIRMAN T. WHEELER: All in favor, signify by 18 19 saying aye? 20 THE COMMISSION: Aye. Those opposed, same CHAIRMAN T. WHEELER: 21 22 siqn? (No response.) 23 I appreciate it. CHAIRMAN T. WHEELER: 2.4

MR. T. FIELDS:

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All right. Thank you for your

1 VICE CHAIR A. LONG: Maybe next time. 2 CHAIRMAN T. WHEELER: Next up, Barbara Wyly. 3 MS. B. WYLY: Sir, there are simply would may 4 be called as witnesses that have not been sworn in 5 and that would be Mr. Blondell and Mr. Wyle. 6 CHAIRMAN T. WHEELER: All right. Give me just 7 a sec and get ourselves organized. 8 MR. D. GARNER: Mr. Garner. 9 MR. B. KING: Is there a copy for the... 10 MR. D. GARNER: I have -- I gave that --11 there's an original and four. 1.2 MR. B. KING: Okay. We have -- we have a 13 14 copy. MR. D. GARNER: Okay. I didn't get one for 15 everybody and... 16 CHAIRMAN T. WHEELER: Could you introduce 17 yourselves and I'm going to swear you in? 18 MR. D. GARNER: Yeah. I'm Doug Garner and I 19 20 filed the challenge. CHAIRMAN T. WHEELER: And then you are? 21 22 MS. B. WYLY: I'm Barbara Wyly. CHAIRMAN T. WHEELER: Barbara Wyly, okay. And 23 both of you are present for Wyly, I suspect? 24 MS. B. WYLY: Yes, they are. 25

time.